TRANSPORT THEORY AND STATISTICAL PHYSICS, 11(3), 245-248 (1982-83)

## UNITARY EQUIVALENCE OF LINEAR TRANSPORT MODELS

C.V.M. van der Mee Dept. of Physics and Astronomy Vrije Universiteit De Boelelaan 1081 1081 HV Amsterdam, The Netherlands

## Abstract

One simple unitary transformation is provided between the linear transport model of R. Beals and a model presented elsewhere. Special attention is paid to similar relationships in electron transport theory.

During the past few years the investigation of the abstract differential equation

$$(T\psi)^{t}(x) = -A\psi(x) , 0 < x < \tau(\leq \infty),$$
 (1)

where T and A are self-adjoint operators on a Hilbert space H and "partial range" boundary conditions are imposed, has grown to be a popular theme. Being the offspring of Hangelbroeks thesis<sup>1</sup>) the subject has been subjected to study by e.g. Beals<sup>2,3,4</sup>), Hangelbroek<sup>5</sup>), Kaper and Lekkerkerker<sup>6,7,8</sup>), van der Mee<sup>9,10</sup>), and recently Greenberg and Zweifel<sup>10</sup>), and has been applied in several branches of physics<sup>1,2,8,9,10</sup>). The main purpose of this short note is to present one simple transformation, which yields a short derivation of the main results of Ref.7 from the results of

Beals $^3$ ) and makes explicit the connection between ideas of Refs. 3,4 and 9 and ideas presented in Ref.8. This transformation is an extension of T to a unitary operator.

Let us suppose that T is bounded with zero null space and A is positive with closed range. Following Beals  $^3$ ) we define  $\mathrm{H}_\mathrm{T}$  to be the completion of H with respect to the inner product

$$(x,y)_{|T|} = (|T|x,y) \quad (x,y\in H).$$
 (2)

According to Kaper and Lekkerkerker<sup>7,8)</sup>  $\mathbf{H}_{T^{-1}}$  is the completion of ImT = {Tx/xeH} with respect to the inner product

$$(x,y)_{|T|^{-1}} = (|T|^{-1}x,y) \quad (x,y \in ImT), \quad (3)$$

Clearly T extends to a unitary operator from  ${\rm H_T}$  onto  ${\rm H_{T^{-1}}}^{11}$ ), which establishes a natural relationship between half-space and finite slab results of Ref.3 (formulated in  ${\rm H_T}$ ) and their analogues of Ref.7 (formulated in  ${\rm H_{T^{-1}}}$ ): any operator K of Ref.3 (such as the Larsen-Habetler<sup>12</sup>) albedo operator) is connected to its analogue K<sup>+</sup> of Ref.7 by the formula

$$TK = K^{\dagger}T : H_{T} \Rightarrow H_{T^{-1}} . \tag{4}$$

The transformation T is readily suggested on comparing Eqs.(3.8), (3.9) and (3.11) of Ref. 3 to Eqs.(4.4) and (4.5) and the bottom formula at page 358 of Ref.7. In this way the Beals<sup>3</sup>) solvability results for the half-space and finite slab problems (formulated through  ${\rm H_T}$ ) can be transformed into those of Kaper and Lekkerker-ker<sup>7</sup>), as exemplified by Lemma 3.2 in combination with Lemma 3.1 of Ref.3 versus the invertibility of V and V<sub>T</sub> in Section 4 of Ref.7.

In Ref.8 the electron transport half-space problem is stated and some ideas for its solution are considered worth presenting. In this problem A has a one-dimensional null space and has a compact resolvent. Denoting the (two-dimensional) zero generalized eigenvector spaces of  $T^{-1}A$  and  $AT^{-1}$  by  $H_0$  and  $H_0^+$ , respectively, one finds

$$T[H_0] = H^{\dagger} \subset Im T.$$
 (5)

In this way T acts as a unitary operator from  $H_0$  onto  $H_0^+$ , provided one endows  $H_0$  with the indefinite inner product<sup>13</sup>)

$$(x,y)_{T} = (T \cdot x,y) \tag{6}$$

and  $H_0^{\dagger}$  with the indefinite inner product  $^{13}$ )

$$(x,y)_{T=1} = (T^{-1} x,y).$$
 (7)

Maximal positive/negative subspaces of  $H_0$  (with respect to (6)) are mapped by T onto maximal positive/negative subspaces of  $H_0^+$  (with respect to (7)). Half-space problems with non-injective A were studied rigorously in Refs. 6, 3 and 9. The idea to exploit the indefinite inner product (6) to solve half-space problems with non-injective A was first published by van der Mee. 9) For electron transport a parallel idea, through the "T-transform" (7) of (6), turned up in Ref.8 together with the suggestion to study "connecting" transformations on  $H_{T-1}$ . Though in a not completely correct way  $H_{T-1}$  transforms" of such connecting transformations were investigated before by Beals  $H_{T-1}$ . Again a unitary extension of T could be applied to make the connection between different papers.

The forementioned relationships are based on a general principle. For neutron transport the solution  $\psi(x)$  of Eq.(1) represents a neutron angular density, whereas T  $\psi(x)$  represents a current density 14). In radiative transfer a similar pair of physical concepts is involved, namely the intensity and radiative flux. One could say that T transforms angular densities (resp. intensities) into current densities (resp. radiative fluxes). In both applications T is the multiplication operator by the cosine of the direction of propagation.

## References

- (1) R.J. Hangelbroek, T.T.S.P. 5, 1 (1976)
- (2) R. Beals, J. Math. Anal. Appl. 58, 32 (1977)
- (3) R. Beals, J. Funct. Anal. 34, 1 (1979)
- (4) R. Beals, J. Math. Phys. 22, 954 (1981)
- (5) R.J. Hangelbroek, Report 7720, Nijmegen University (1978)
- (6) C.G. Lekkerkerker, Proc. Edinburgh Math. Soc. <u>75A</u>, 259, 283 (1975)
- (7) H.G. Kaper (Joint work with C.G. Lekkerkerker), "Factorization Methods in Transport Theory". In: I. Gohberg (Ed.), "Toeplitz Centennial", 0T4, Birkhäuser, Basel (1982), p.343
- (8) H.G. Kaper, C.G. Lekkerkerker and A. Zettl, "Linear Transport Theory and an Indefinite Sturm-Liouville Problem". To appear in: Proc. Conference on Ordinary and Partial Differential Equations held at Dundee, Scotland, March 29-April 2, 1982, Lecture Notes in Mathematics, Springer Verlag, Heidelberg
- (9) C.V.M. van der Mee, "Semigroup and Factorization Methods in Transport Theory", Mathematical Centre Tract 146, Amsterdam (1981)
- (10) W. Greenberg, C.V.M. van der Mee and P.F. Zweifel, "Generalized Kinetic Equations." Submitted to: Integral Equations and Operator Theory
- (11) C.V.M. van der Mee, Private Communication, being a letter to H.G. Kaper and C.G. Lekkerkerker
- (12) E.W. Larsen and G.J. Habetler, Comm. Pure Appl. Math. <u>26</u>, 525 (1973)
- (13) J. Bognár, "Indefinite Inner Product Spaces", Springer, Berlin (1974)
- (14) H.G. Kaper, Lecture at the Seventh International Conference on Transport Theory. Journalist report in: T.T.S.P. 10, 115 (1981)